

## Funding Area 2: Network Formation and Knowledge Transfer

RESEARCH NETWORK | INTERNATIONAL SYMPOSIUM | NETWORKING PROJECT | TRANSFER PROJECT

### Transfer project - Guidelines for Applications

These guidelines are intended as a guide for preparing an application for funding a transfer project. They provide information on the different ways of interpreting the funding format, and explain the basic requirements to be met when submitting an application. These guidelines are based on the DSF funding objectives and criteria, which are set out in the Funding Concept "*Research Innovation, Network Formation and Knowledge Transfer. Bringing new impetus to peace and conflict research*"<sup>1</sup> and are the determining factors for the evaluation and decision-making process.

#### TRANSFER PROJECT

Funding amount: €10,000

Applications for funding of transfer projects can be submitted to DSF without a deadline. They should be submitted with a reasonable lead-time to the planned start of the project.

The aim of the funding offer is to initiate transfer projects that contribute to the transfer of findings from peace and conflict research to political and social fields of practice as well as to the public. The DSF supports both the transfer of knowledge from funded research projects and projects that do not result from the foundation's own funding. The foundation prefers to support original transfer projects that, for example, use special formats (events, materials, media), address important target groups of peace and conflict research in practical fields, and/or aim for public visibility. In addition, the projects should also be used to involve young scholars in the transfer of knowledge.

The call is thematically open, and is aimed at researchers from different stages of their career, and from different disciplines and specialisations. Transfer projects offer design flexibility.

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<sup>1</sup> The DSF Funding Concept is available at <https://bundesstiftung-friedensforschung.de/foerderkonzept/>

## **Application requirements**

Applications for funding can only be submitted by researchers who belong to or are directly affiliated with a higher education institution, a non-university research institution or a scientific institution recognised as a non-profit organisation<sup>2</sup>. It is not possible to provide direct financial funding to private individuals.

The minimum requirement for submitting an application is having completed a doctorate. Exceptions can only be made for researchers who can demonstrate that they have been engaged in scientific activities for many years and have published relevant articles in the field of research.

The Foundation only accepts applications for project funding submitted by scientific institutions within Germany. It generally only approves funding to domestic institutions. However, this does not exclude the possibility of using funding for international scientific cooperation.

Institutions that receive DSF funding are required to ensure that the funding is administered professionally.

## **Basic requirements**

DSF only funds transfer projects that are thematically related to the research field of peace and conflict research. Relevance shall be adequately substantiated in the application for project funding.

For the application, a structured and comprehensible project concept must be submitted to the foundation, which offers a comprehensible and sufficient basis for the professional assessment of the project. Care should be taken to ensure that the objectives and relevance of the project, the description of the transfer content and its target group-oriented preparation and presentation as well as information about the target groups and participants are all given appropriate consideration.

In the case of funding, the Foundation assumes that the organisation receiving funding will provide the infrastructure and basic equipment required to implement the proposed project. Overhead costs may not be claimed.

Co-financing of the project is generally possible. Corresponding applications and commitments must be included in the application to DSF, stating the amount of funding. The cost items for which DSF funding is requested must be clearly identified in the overall cost plan.

The organisation receiving funding assumes the role of employer for the duration of the project; it is therefore responsible for the proper management of labour costs.

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<sup>2</sup> Where applicable, relevant supporting documents shall be enclosed with the application.

## Funding criteria

When assessing applications for project funding, the Foundation distinguishes between four core criteria and four additional criteria<sup>3</sup>:

Adequate consideration must be given to the *core criteria* - scientific relevance, quality of the project design, specialist qualifications of the project team, and potential for knowledge transfer - in all project proposals. Their fulfilment is a prerequisite for a positive funding decision.

Not all of the four *additional criteria* - promotion of early career researchers, national and international research collaboration, interdisciplinary orientation, and diversity - have to be met, depending on the project design. However, the applicant should assess how significant the additional criteria may be to the project when preparing the concept.

The Foundation expects applications to contain statements on the relevance or non-relevance of each criteria. The fulfilment of additional criteria has an impact on the overall assessment of applications for funding.

## Costs eligible for funding

DSF provides funding for project-related labour and material costs.

Personnel funds can be used to provide organisational support for the preparation, follow-up and implementation of the transfer project. This includes, in particular, expenses for student assistants, contracts for work with third parties and other work services (e.g. translations). As a rule, labour costs should not exceed 10 to 15 percent of the requested funding amount. Exceptions are hybrid formats.

The payment of lecture fees or expense allowances from DSF funding is not possible. Exceptions are lecture fees for freelance speakers. The appropriateness of awarding work contracts to third parties as well as the exceptions for lecture fees must be justified in the application.

Material costs include expenses for flights/travel and accommodation (overnight stays, hospitality) of the speakers, the moderators, the active scientific participants and those involved in the organisation of the project. The current rates of the Federal Travel Expenses Act (BRKG) shall apply to the calculation of travel expenses.

For the calculation of hospitality costs, the rates of the DSF listed in *Appendix 1* of this guide shall apply.

The DSF grants subsidies for material costs incurred directly in the preparation and implementation of the transfer project and which do not constitute a reasonable contribution by the participants themselves. These include e.g. consumables, conference documents, in justified cases also room rentals and the rental of technical equipment as well as specific expenses for hybrid conferences (e.g. licences for online tools), provided that these can be assessed as proportionate.

The budget items must be adequately justified in the application's accompanying text. Funding requirements shall be calculated according to the principle of proportionality. The Foundation reserves the right to adjust cost estimates.

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<sup>3</sup> See the conceptual definitions in the Funding Concept.

The overviews in Appendices 1a/b provide information on possible uses and the underlying cost estimates.

## Application documents

The Foundation requires the following documents in order to process applications for project funding:

- COVER LETTER | A signed letter from the applicants to the Foundation (as a scan or with a digital signature)
- SUMMARY/ABSTRACT | A separate one-page summary of the project (approx. 1,800 characters including spaces, at least 11 point font size)
- LONG VERSION | A presentation of the concept for the transfer project (Topic and objectives, state of research, structure of the conference, information about the contributors, cost plan, list of references<sup>4</sup>) with a maximum of 10 pages (approx. 20,000 characters including spaces, at least 11 point font size)  
*Supplementary appendices:* CVs of the applicants and project staff (maximum of 2 pages each<sup>5</sup>), a list of own publications relevant to the project<sup>6</sup> (maximum of 2 pages), cost estimates for third-party services, cooperation agreements, etc.
- COST PLAN | An additional cost breakdown (see the *template Excel file*)

A suggested list of possible bullet points is attached to these guidelines as *Appendix 2*. The Foundation reserves the right to return applications that exceed the specified number of pages for reworking.

All applications must be submitted to the Foundation in a digitised version. To do this, the application form<sup>7</sup> on the Foundation's website must be completed and four separate files (3 PDF, 1 Excel) – cover letter, the long version of the application (including annexes), the summary and the additional cost plan - must be attached.

## The evaluation and decision-making process

Applications for funding of transfer projects are not reviewed externally. The decision on funding is made by the DSF Board.

In the event of a positive decision on the award of funding, the Foundation reserves the right to issue a final approval only after a written statement has been submitted on the points of criticism and follow-up questions.

Post-qualification of a transfer project in the current application process is not possible.

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<sup>4</sup> The reference list of research literature can also optionally be added as an appendix (maximum of 2 pages).

<sup>5</sup> The two-page CV should only contain information on professional credentials that is directly relevant to the application. Lists of lectures, memberships, etc. are not desired.

<sup>6</sup> A complete list of the applicant's own publications cannot be attached to the application.

<sup>7</sup> The form is available at <https://bundesstiftung-friedensforschung.de/e-antrag/>

A rejected application for project funding may be resubmitted once in a revised version as a new application.

DSF approves funding to the applicant's research institution. This entails the compulsory recognition of the Foundation's approval regulations by the management of the institution and the project owner. Further details can be found in the approval regulations for transfer projects.

Osnabrück, May 2021

## APPENDIX 1A | Transfer project: Information on drawing up a cost plan

*When drawing up the cost plan, the following points must be observed:*

- DSF does not assume any overhead costs of the organisation receiving funding. It assumes that the infrastructure (e.g. Conference rooms and technology, access to software licences) is provided by the organisation receiving funding as its own contribution. For this reason, any material costs listed that count as part of a re-search institution's basic equipment require special justification.
- If labour and material costs have been calculated incorrectly, the Foundation will not allocate additional funding.
- Lump sums with no mention of a specific intended use will not be granted.
- Individual cost items should be rounded up to the nearest €10.

*Rates for hospitality expenses:*

- The Foundation will cover the costs of hospitality for
  - speakers,
  - presenters and
  - those directly involved in the organisation (e.g. student assistants).
- The following grants can be applied for per day towards the costs of hospitality:
  - For one meal (half day)
  - maximum of €15 per person and day (restaurant)
  - maximum of €20 per person and day (catering in conference rooms)
  - additional: maximum of €5 per person and day for conference drinks
- For two meals (whole day):
  - maximum of €30 per person and day (restaurant)
  - maximum of €40 per person and day (catering in conference rooms)
  - maximum of €35 per person and day (restaurant/catering)
  - additional: maximum of €10 per person and day for conference drinks

## APPENDIX 1B | Transfer project: Information on drawing up a cost plan

| COST ITEM   | INFORMATION   | REMARKS  |
|---|---|--|
| <b>LABOUR COSTS</b>   |   |  |
| Student assistants  | Hourly rate (including ancillary wage costs)<br>Duration of employment<br>Monthly working hours                                 |  |
| Contracts for work and services with third parties  | Scope of services, remuneration and basis of assessment   | Justify the appropriateness of awarding the contract.<br>Attach tenders if applicable                      |
| Fee contracts (e.g. translations)   | Basis of assessment   | Attach tenders if applicable   |
| <b>TRAVEL COSTS</b>   |   |  |
| Flight and travel costs for speakers, moderators and persons actively involved in the project | Target number of participants<br>Breakdown into expected individual items (travel/accommodation costs)<br>Local transport costs |  |
| Accommodation costs for speakers, presenters and persons actively involved in the project     | Type of accommodation, Hospitality costs  | The applicable rates of the BRKG apply. Hospitality costs are based on the rates for hospitality expenses. |
| <b>MATERIAL COSTS</b>   |   |  |
| Conference rooms and technology, access to software licences (hybrid formats)                 | Justification why not available through the basic equipment of the funded institution   |  |
| Consumables and communication   | Lump-sum amount   |  |

## APPENDIX 2 | Transfer project: List of possible bullet points in the long and short version of the application<sup>8</sup>

**Short version (summary of the project)**, a separate 1-page

- Topic, objectives and expected impacts/results
- Relevance for the transfer of knowledge and description of the transfer contents
- Conception and structure of the transfer project
- Information on target groups and participants
- Application volume

### **Long version of the application for project funding**

(maximum 10 pages; approx. 20,000 characters including spaces, at least 11 point font size)

#### *a. General information (in tabular form<sup>9</sup>)*

- Funding format
- Name of the applicant
- Institution/organisation/department
- Topic of the project
- Expected date of implementation
- Application volume
- Business address and contact details (phone, email address)

#### *b. Detailed presentation of the research project*

- Topic, objectives and expected impacts/results
- Relevance for knowledge transfer and description of the transfer contents
- Conception and structure of the project as well as target group-oriented preparation of the materials
- Selection of target group(s), information on speakers and participants
- Expertise of the project team and own preparatory work
- Utilisation of results and evaluation

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<sup>8</sup> This list is a non-binding outline suggestion. The order and choice of points may vary depending on the application.

<sup>9</sup> You can find an example of a tabular list in our download area under “Example of a cover sheet”.



- Schedule and work plan
- List of relevant research literature
- Detailed cost plan (see Excel file for download) with details of the institution's own contributions/justifications for the individual items
- Declaration that the application, or a similar application, has not been submitted to any other funding body (date and signature)

c. *Appendices*

- Information on the applicants in the form of a CV and a list of own publications relevant to the project (maximum 4 pages)
- Draft for the project schedule
- Other documents such as short biographies of speakers, call for contributions  
Cost offers for third-party services (please keep to the essentials)